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5 **WHO IS AFRAID OF THE HUMANOID?**  
6 **INVESTIGATING CULTURAL DIFFERENCES IN THE**  
7 **ACCEPTANCE OF ROBOTS**

9 FRÉDÉRIC KAPLAN  
10 *Sony Computer Science Laboratory*  
11 *6 rue Amyot, 75005 Paris, France*  
12 *kaplan@csl.sony.fr*

13 Received  
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15 Are robots perceived in the same manner in the West and in Japan? This article presents  
16 a preliminary exploration of several aspects of the Japanese culture and a survey of  
17 the most important myths and novels involving artificial beings in Western literature.  
18 Through this analysis, the article tries to shed light on particular cultural features that  
19 may account for contemporary differences in our behavior towards humanoids.

19 *Keywords:* Cultural issues; Japanese popular and traditional culture; influence of  
20 technology on cultural representations.

21 **1. Introduction**

22 Are there significant cultural differences between the way Westerners and Japanese  
23 see robots? Where would these differences come from? Such questions are very  
24 difficult to answer. First of all, there is no such thing as a ‘Western man’ or as  
25 a clearly defined ‘Japanese culture.’ Western attitudes cannot be lumped together  
26 as there are important cultural differences between Western countries. In the same  
27 way, Japanese culture is not a coherent whole. Moreover, a systematic comparison  
28 between the West and Japan is made even more difficult by the fact that Japanese  
29 society has developed in relative isolation from the rest of the world.

30 Given these difficulties, the ambition of this article is not to provide definite  
31 answers about possible differences in our attitudes towards robots, but to start a  
32 preliminary investigation in order to collect elements for understanding the cultural  
33 issues associated with these new kinds of machines. Culture affects the way technol-  
34 ogy is perceived and, in a reciprocal manner, technological evolution shapes culture  
35 in particular ways. One motivation for this work is to show that some of the expla-  
36 nations that are often put forward to account for cultural differences between Japan  
37 and the West concerning new technology may actually turn out to be questionable.  
A very common one views Japanese people as technology fans, who love technology

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1 for the sake of it, whereas Westerners would regard artifacts as less important.  
In this article, we investigate a hypothesis that suggests that it may be the other  
3 way round. We will argue that it is precisely because machines are so central to the  
way many Westerners view themselves that they are sometimes seen as potentially  
5 harmful and that symmetrically, it is because they are not so important for most  
Japanese people, that they are perhaps more easily accepted.

7 To study this hypothesis, we will first review some interesting aspects of Japanese  
popular culture. We will identify in Japan a particular way of dealing with unmas-  
9 tered techniques that we call “technology taming” and show how Japanese culture  
can absorb new technological innovations without losing its core foundations. At  
11 the same time, we will point out how several characteristics of Japanese traditional  
culture encourage the artificial reproduction of nature and incorporate an aesthetic  
13 dimension to the quest for recreating life-like creatures. We will then perform a  
quick historical survey of the stories associated with artificial creatures in Western  
15 myths and novels. This analysis will suggest that the Western man views himself  
as the sum of the most advanced machines of his time and of a mysterious essence.  
17 In the West, technology seems fundamental for defining what humans are. This  
will be illustrated by a rapid stroll through the history of the metaphors used in  
19 medicine and biology. The conclusion will summarize these different preliminary  
findings.

## 21 **2. “Technology Taming” in Japanese Popular Culture**

### 22 **2.1. *The robot with the atomic heart and the giant colossus***

23 When you ask a Japanese robot engineer why he decided to work in this area, he  
almost always answers that being a kid, he watched the cartoon *Testuwan Atom* on  
25 TV. This character was invented in 1951 by the famous cartoonist Tezuka Osamu.  
It is a small infant-like robot equipped with an “atomic heart” that defends human-  
27 ity against various threats often coming from outer space. It can be considered as  
the primary ancestor of most of the friendly artificial autonomous creatures, both  
29 imaginary and real, invented in Japan since then. What may seem odd for a western  
audience is the use of the nuclear energy providing a heart for the robot. It plays  
31 the role of a vital force. At the end of the Second World War, one could have  
expected that nuclear energy would be associated by Japan with death and defeat.  
33 But instead of being diabolized, the destructive energy was reintegrated into fiction  
as a positive life principle. In contrast, *Testuwan Atom* was exported in the West  
35 under the name *AstroBoy*, suppressing the reference to nuclear energy to be better  
accepted by a western audience.

37 Another archetype of imaginary robots in Japan was also born in the same  
period. The first character of this family was *Tetsujin 28 go*, a giant robot remotely  
39 controlled by a young boy. It was invented by another manga master in 1958:  
Mistuteru Yokoyama. It started a long series of giant robots controlled by human

1 operators, among which the most well-known are *Goldorak* (1975–1977), *Mazinger Z*  
and more recently *Giant Robo* (1992) or *Neon Genesis Evangelion* (1995–1996).<sup>1,2</sup>  
3 These technological colosses, which are still very popular nowadays, are used as  
armor that transforms young kids into futuristic samurai. These robots are not  
5 autonomous; they are used as vehicles, a new body, a second skin.

As pointed out by Alessandro Gomasasca, the kind of stories in which these  
7 robots are involved follow a rather fixed pattern.<sup>3</sup> An enemy is attacking Japan (or  
the Earth). These are typically monstrous extraterrestrial creatures coming from  
9 space. Their power comes from the mastery of frightening technology with which  
they have often fused, becoming cyborg-like creatures, half-biological half-machine.  
11 To build the giant robot capable of saving the Earth, Japanese scientists must  
master a new technology. Very often, this technology is not developed from scratch  
13 but in some way stolen from the aggressors. This explains why in a lot of stories  
the “good” robot looks nevertheless frightening. Its appearance shows the signs of  
15 its foreign origin.

## 2.2. Taming technology

17 It seems that at least one particular point distinguishes such stories from their  
equivalents in the West. In Japanese fiction, new robots are reintegrated into human  
19 society most of the time. New bonds appear between men and these artificial crea-  
tures. Abandoned by its creator, Atom is soon reintegrated into a new welcoming  
21 family. By the same token, giant robots often play both the role of a father and a  
mother for their young pilots. Around robots a network of new links is built so that  
23 none of these creatures is left alone. Integrating such machines is a positive process.  
But as we will see, integrating machines in society does not imply “merging” with  
25 them.

One kind of creature seems to be systematically excluded from these virtuous  
27 links. It is the set of hybrid monsters that often play the role of the evil forces  
in the giant robot sagas. The cyborg, a monster which has fascinated Westerners  
29 since the end of the 20th century, is seldom seen as a welcome creature in Japanese  
fiction. Convergence between technology and biology seems to always be considered  
31 in negative terms. The young kid piloting the giant colossus symbolizes this well-  
defined frontier between the biological body and mechanical armor. In Japan, robots  
33 and humans may be living in harmony, but side-by-side. Post-human perspectives  
are rarely considered as having a positive future.

35 More generally, it seems that technology can be “tamed” without necessarily  
melding with it. This approach makes sense when you consider some elements of  
37 Japanese history. We may trace back this attitude to the ideological and political  
program of the Meiji period (1868–1912).<sup>3</sup> Facing its first overseas threats, Japan  
39 had to defend itself. It was decided that part of the defense program would consist of  
learning how to master the threatening technologies of the foreigners. This seemed  
41 to be a necessary step towards defending the core of Japanese traditional culture.

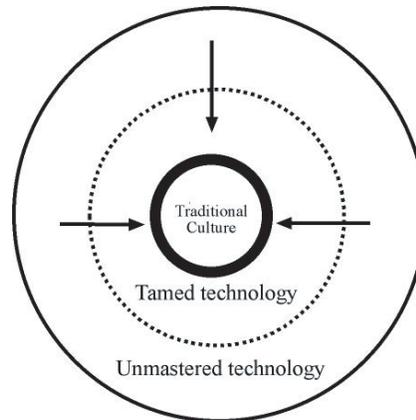
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Fig. 1. The Japanese approach to technology as depicted in popular culture: foreign technologies, tamed technologies and traditional culture.

1 To a certain extent, this political program defined at the end of the 19th century  
 3 is still present in the way Japanese consider technology. We can summarize this  
 5 view with the concentric circles in Fig. 1. In the center is a traditional core which is  
 7 not affected by modernity. At the periphery we find foreign technologies potentially  
 9 dangerous for Japanese integrity. In between, a set of “tamed” technologies that  
 11 may one day have been “wild” but that are now well mastered and harmoniously  
 13 integrated in society.

15 Beyond this political program, this principle of technology taming appears in  
 17 diverse forms in popular culture. Besides the imaginary robots already mentioned,  
 19 the world of the Pokemon, another successful export of Japanese popular culture,  
 21 is entirely based on this principle.<sup>4</sup> In this imaginary universe, children must capture  
 23 small creatures. Several kinds of such creatures exist, each one possessing a  
 25 particular power. Once a Pokemon is captured, it changes from a wild creature to a  
 tamed ally; the child can now use it as a weapon. Having studied the characteristics  
 of the creatures they have tamed, children engage in fights using their creatures as  
 soldiers. It is not difficult to trace back in such a game a miniature version of the  
 Meiji political program.

These different remarks invite us to form a subtler picture of the Japanese  
 approach to technology. In Japanese fiction, technology does not appear as a funda-  
 mental quest, but more as a way of preserving what is essential in Japanese culture.  
 There is no dream of fusion with machines. On the contrary, it always seems impor-  
 tant to keep a distance. This distance may be an important element to understand  
 why robots seem less problematic in Japan than in the West. Yet, we still have to  
 explain what part of Japanese traditional culture makes machine building a positive  
 activity. To answer this question we need to consider the importance of the natural  
 and the artificial in Japanese society.

1 **3. The Natural and the Artificial in Japanese Traditional Culture**

3 One of the best well-known episodes among Shinto myths is the tale of the vanishing  
 5 of Amaterasu O-mi Kami, the sun goddess. The goddess, offended by her brother's  
 7 provocations, decided to withdraw to a cave. As a result, the world was turned  
 9 into darkness. To convince her to come back, the other deities decided to set up a  
 11 spectacle with music, theatre and dance. The party was not a real one, but all the  
 guests pretended to have fun, laughed and made a great amount of noise. Driven  
 by curiosity, Amaterasu O-mi Kami decided to take a look at what was going on  
 and came out of her cave. As soon as she was out, the other Gods blocked the  
 entrance: the sun was back for good. The world was saved by a simple masquerade,  
 a fake party and forced laughter, set up to fool a goddess. In the Shinto tradition,  
 artificiality is licit: it saved the world.

13 **3.1. *The artificial reproduction of nature***

15 Augustin Berque<sup>5</sup> gives several examples showing how Japanese people do not  
 17 oppose the natural and the artificial but on the contrary very often use the artificial  
 19 to recreate nature. The difference between Western fountains and small Japanese  
 21 cascades illustrates this point well. In the west, fountains throw water high in the  
 23 air. As it is a completely unnatural movement, the Western man hopes to demon-  
 25 strate his mastery over nature. On the contrary, small Japanese cascades mimic  
 as closely as possible the way water naturally flows. They look much more mod-  
 est than their Western counterparts but often the hydraulic mechanisms underlying  
 them turn out to be technically superior. The artist-engineer shows his art by trans-  
 ferring the elements that really count from the natural cascade to an artificial one.  
 In this respect, to be able to copy means to understand and to pay homage to  
 nature.

27 The same idea of artificially simulating nature is illustrated by the anecdote  
 29 that has opposed two masters of Japanese aesthetics Sen no Rikyu (1522–1591)  
 31 and Furuta Oribe (1543–1615), his student.<sup>5</sup> The story goes as follows. Every day,  
 33 a master of ceremony conscientiously orders the removal of all the fallen tree leaves  
 35 form the paths that lead to the roji (house of tea). Rikyu, who does not like to see  
 37 such a clean path, explains to him that beauty comes from the kind of disorder that  
 39 nature spontaneously produces. He advises the master to stop cleaning the path  
 several hours before the ceremony. In this lapse of time, some leaves could fall and  
 this should create a harmonious disorder. But Oribe disagrees with this piece of  
 advice. His aesthetic view of the problem is to go one step further. He recommends  
 cleaning the path very well and then manually positioning some leaves to recreate  
 artificially a natural distribution. Indeed, sometimes nature creates very unnatural  
 patterns; to achieve a perfect aesthetic, it is better to understand the laws of nature  
 and reproduce them artificially.

41 Building a robot that mimics a dog, a cat or a young infant is a similar process.  
 The more it resembles the real thing, the more gifted the engineer is. There is

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1 no need for further justification. For Japanese, robots are valuable because they  
 reproduce a harmonious form. They can be considered as parts of spiritual and  
 3 aesthetic research.<sup>6</sup> In that sense, they have something to do with the notion of *kata*  
 used in martial arts.<sup>5</sup> *Kata* is a sequence of gestures of maximum stability where the  
 5 elements follow one another in a natural manner. In the traditional conception of  
 karate, to master each *kata*, one needs to repeat them over and over to rediscover the  
 7 natural stability of the form. The *kata* has no finality in itself. In international karate  
 competitions a rather different view prevails: hitting the opponent is permitted. To  
 9 export this martial art and turn it into an internationally practiced sport, it was  
 necessary to remove the useless aspect of the *kata*. In the same way, it seems that  
 11 an entertainment robot must be presented as a useful device to be accepted in the  
 Western world.

### 13 3.2. *Linking beings instead of distinguishing them*

15 More generally, from a Japanese point of view, it seems that the difference between  
 the realization of nature and the production of Man tends to become blurred. Tokyo  
 grows like a living organism without any real urbanistic control. Earthquakes regu-  
 17 larly destroy parts of it. In that sense, it is not so different from emergent structures  
 built collectively by insects. The city is self-organized like a natural process.

19 In the Western world, distinguishing between nature and culture is a crucial  
 issue. The idea is to organize the world in a systematic and precise way. Things  
 21 should be on the natural side or the cultural one. There is no place for hybrids  
 in such classifications.<sup>7</sup> In Japan, gods, men, animals, stones and all the possible  
 23 intermediary beings seem to be part of a big picture. There is no pressure to make  
 distinctions between them. On the contrary, Japanese create links between them to  
 25 form a continuous network of beings (Fig. 2).

27 This may explain how Japanese people can be at the same time great lovers of  
 natural things and not so good at developing ecological measures. From a Western  
 point of view, their behavior often appears paradoxical. Being so excited when  
 29 the cherry-blossom tree starts to be white in the beginning of May, worshipping  
 every river and every mountain as if they were gods seems in perfect contradiction

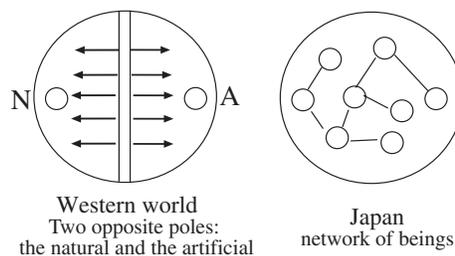


Fig. 2. In the Western world, the distinction between the natural and the artificial is crucial. In contrast, the Japanese create links between them to form a continuous network of beings.

1 with whale hunting. But given what we have said, these paradoxes are only  
 2 apparent. To protect nature efficiently, one must see it as something separated,  
 3 that falls under human responsibility. A clear idea of the frontier between the nat-  
 4 ural and the artificial is necessary. Japanese people seem to have trouble with this  
 5 Western view.<sup>8</sup>

### 3.3. *The apparent unconcern for authenticity*

7 These two salient characteristics deeply rooted in Japanese tradition can already  
 8 give us a clearer idea of why robots are perceived differently in Japan compared  
 9 to the Western world. We should probably add to this picture more contemporary  
 10 features of the Japanese society. Westerners are often surprised when they walk  
 11 around Tokyo and see very nice places very close to dull ones, totally new buildings  
 12 near old houses. It is as if Japanese people regard authenticity as an unimportant  
 13 matter. Anachronism does not seem to matter in Tokyo, where you can see tradi-  
 14 tionally dressed ladies buying hamburgers at a fast food restaurant. The city looks  
 15 like a big entertainment park where it is possible to encounter within a few meters  
 16 houses of very different architectural style and restaurants serving interpretations of  
 17 most existing world cuisines. To describe an exuberant patchwork like Tokyo City  
 18 only one German word seems appropriate: “kitsch.” Japan is a place where “kitsch”  
 19 is acceptable on a large scale. It is not surprising that in such a place, a strange  
 20 life-like machine seems rather natural.

21 Behind the “kitsch” layer, one should read the special role played by the artificial  
 22 in recreating the natural. It seems that the Japanese are able to transcend external  
 23 appearances of their surroundings to retrieve their own pieces of poetry. A good  
 24 illustration of this can be found in the small pieces of colored paper that are hung  
 25 in the streets during autumn to recall the colors of the tree leaves in an urban  
 26 setting.

27 Other aspects should surely be mentioned, in particular, the Japanese taste  
 28 for “Kawai” things (cute, infant-like objects),<sup>9</sup> but this rapid survey of the very  
 29 particular manner in which the Japanese view the natural and the artificial can  
 30 already give us relevant insights into understanding the role of robots and robot  
 31 creation in society. On the way, we have collected some ideas about the issues they  
 32 can raise in the West. But we should dig somewhat more.

## 33 4. Artificial Creatures in Western Myths and Novels: 34 A Brief Historical Survey

35 There is a long tradition in the West of stories involving artificial human-like crea-  
 36 tures. Can they tell us something about the kind of questions raised by robots? We  
 37 will do a very rapid survey of some important myths and novels that belong to this  
 38 tradition. To go beyond this simple overview, we encourage the reader to refer to  
 39 the numerous works done on this aspect of Western culture.<sup>10–15</sup>

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1 **4.1. *Pygmalion, the Golem and the homunculus***

2 One of the oldest myths telling a story of artificial creation is Pygmalion's tale.  
3 Pygmalion was the king of Cyprus. He was also a gifted sculptor. Not attracted by  
4 the local girls of the island, who he found vulgar, the young king refused to marry  
5 and spent all his time in his workshop. This attitude was a threat for the kingdom,  
6 because Pygmalion had no son. One day he created an ivory statue representing  
7 an extremely beautiful young virgin. He fell desperately in love with his creation.  
8 Faced with this impossible love, he prayed to Aphrodite to create for him a bride  
9 that would be as beautiful as his masterpiece. The goddess of love decided to make  
10 his wish come true and the statue became a real woman named Galatea. They  
11 married and eventually had a son. The royal dynasty was saved.

12 This story is probably the first instance of the theme of the artificial creature  
13 as a companion. It is important to notice that the myth does not present Galatea  
14 as an *ersatz*. She was not supposed to replace a real woman. On the contrary, for  
15 Pygmalion she was more beautiful and desirable than any of the women he ever  
16 knew. Nothing in the myth condemns the creation of this creature. The Greeks  
17 gave to the story a happy ending, which differs a lot with the tragic ends of other  
18 well-known myths like that of Oedipus.

19 In a very different context, the Golem is another interesting archetype of an  
20 artificial creature created by Man. The creation of golems was first mentioned in  
21 the commentary of the *Sefer Jezira*, the book of creation. This book, probably  
22 written during the Third Century, plays an important role in the Jewish Cabbala.  
23 To build a Golem, a rabbi must imitate the way God made Adam in Genesis. He  
24 has to take some red clay and form a human shape out of it. Once the model is  
25 finished, the rabbi can animate the creature by writing the word meaning truth in  
26 Hebrew: "Emeth." The creature starts to breath, walk and can become a useful  
27 servant for the rabbi. If the creature becomes too big or dangerous, the rabbi just  
28 has to suppress the first letter written on the Golem. The word "Meth" means death  
29 in Hebrew and the creature is turned back to a stack of inanimate clay.

30 The Golem illustrates how Man can imitate divine creation through research  
31 and science. In the Jewish tradition, such an imitation is not a bad thing in itself.  
32 God created the world by combining letters. Exploring the art of letter combination  
33 is an act of wisdom. It can be seen as an act of devotion to God. This conception  
34 of artificial creation has some similarity with the Japanese tradition.

35 We find in alchemistic practices the equivalent of the Golem creature. The Swiss  
36 alchemist Paracelsus describes in *De generationibus rerum naturalium* what one  
37 should do to create an artificial being. The recipe is different from the one of the  
38 Jewish tradition, but it has a similar structure. If one follows it consciously, it  
39 creates a homunculus.

40 Thus, at the root of this genealogy of artificial creatures, we see a least two  
41 archetypes. The first (Greek myths) introduces the idea of an artificially created  
companion creature. The second (Jewish tradition and alchemy) views artificial

1 creation as an exercise to understand God's know-how. In both cases, to create  
such creatures is not seen as a transgressive act.

#### 3 **4.2. Rousseau, romanticism and the turn of the 18th century**

5 The 18th Century marks an important turn in this rapid history. As the first  
automata appeared, in particular with the work of French and Swiss engineers  
7 like Vaucanson or Jacquet-Droz, the art of the artificial fascinated people.<sup>13</sup> The  
machines shown in exhibitions were very popular. They were seen both as a way  
9 to understand human beings and as important devices for future industrial appli-  
cations.

11 But the winds were changing. With the spreading of Rousseau's philosophy in  
particular, machine creation has started to be seen as an act of corruption. Rousseau  
13 tried to show how culture, science and even language corrupt Man more each day.<sup>16</sup>  
To live in civilized societies drives Man far from nature, where he once lived happily.  
15 Rousseau pictured a primitive state where the first human beings did not know  
about good and evil, lived in perfect communion with nature, and expressed their  
17 desires in a transparent way. But as they started to build tools and weapons, they  
began to master their environment. Man believed rapidly that he was superior to  
19 animals and felt pride and vanity. For Rousseau, this evolution was the original sin  
of our species. From this point, self-esteem had replaced the innocent love of our  
origins and the artificial had taken the lead on the natural.

21 According to Rousseau, we must try to return to this golden age. He tried to  
make his own life an example of abnegation. In his last books, he recalled with  
23 emphasis nice walks in the mountains or in the forest. By rejecting the artifice of  
civilization, he tried to cultivate a kind of immediate feeling of life and hoped others  
25 would follow in such a quest against the artificial.

27 Initiated by Rousseau's thoughts, a new cultural stream emerged in England and  
Germany: Romanticism. A growing number of authors started to share the idea that  
technical innovations and scientific progress take Man away from his real nature.  
29 Greek myths and even the Jewish tradition of the Golem got reinterpreted in a very  
different way. Goethe revived an old Greek tale appropriate for this romantic view  
31 of the world: The Sorcerer's Apprentice.

33 Initially, this story by Lucien de Samosate (120–180 BC) told how a young  
magician decided to use a magic spell he had seen his master use. When he was  
35 alone, he commanded a broom to fetch water to clean the house. It worked and all  
went well until the apprentice found out that he did not know how to command the  
37 broom to stop. The basin began to overflow, soon filling the room with water. The  
moral: if you are not competent, just don't do it.

39 In *Der Zauberlehrling*, Goethe gives a larger scope to this tale by assimilating the  
young apprentice with Man and the master with God.<sup>12</sup> This short story attained  
41 a discrete but very important influence on Western culture. Golem stories were  
reinterpreted from this perspective, describing how the artificial servant becomes

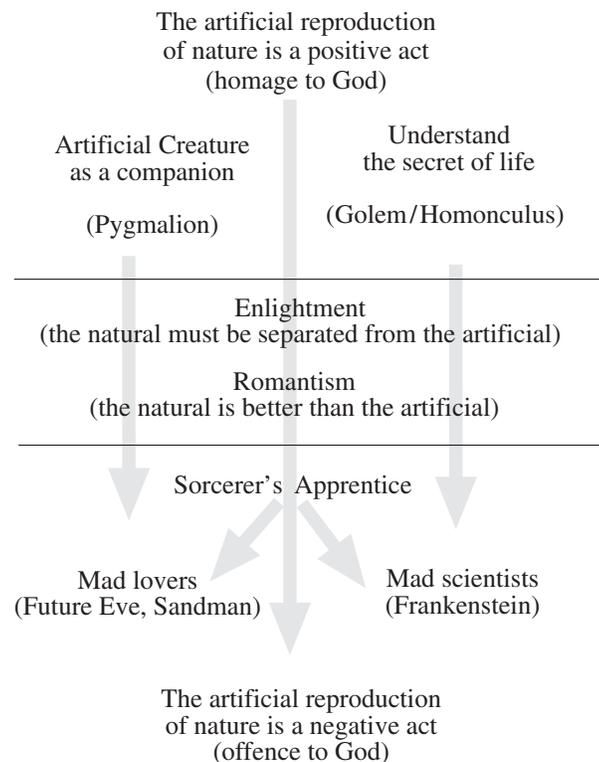
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Fig. 3. Evolution of the image of artificial creatures in Western myths and novels.

1 an uncontrollable creature that destroys everything along the way. Greek myths,  
 2 like Prometheus, were revived to support the romantic idea that Man's ambition  
 3 goes too far when he wants to play God. Everything is in place for the emergence  
 4 of the Frankenstein syndrome.

#### 5 **4.3. *The Frankenstein syndrome***

6 In the summer of 1816, on a stormy night, Lord Byron decided to challenge his  
 7 guests to write a horror story. Among the participants, the young Mary Shelley  
 8 started to write the story of a doctor and his artificial creature. The manuscript she  
 9 began that night became one of the world's most famous novels: *Frankenstein*.

10 Victor Frankenstein was a young Swiss doctor, initiated to the arcane world of  
 11 alchemy and to the new science of electricity. He pursued a Holy Grail: understand-  
 12 ing the secret of life. His project was to recreate a human being from scratch. He  
 13 spent his nights in cemeteries to collect parts of dead bodies useful for his creation.  
 14 It was an arduous task and Frankenstein was a bad surgeon. His lack of dexterity  
 15 leads him to build a tall and ugly creature. During a storm, he saw his artificial  
 infant move for the first time. The doctor got scared and tried to flee. Because of

1 its ugliness, the creature got rejected everywhere it went. It was not an evil being  
 in itself but it felt alone. The monster turned back to the doctor to ask for a female  
 3 counterpart. But after some hesitation, the doctor refused and the creature got mad  
 at him.

5 Popular culture progressively filtered out all the complexity of this story to  
 only recall the transgression of the scientist. A single rule was remembered and  
 7 constituted the “Frankenstein syndrome”: any artificially created humanoid will  
 necessarily turn against his creator at some point.

9 During the 19th century many novels explored the Sorcerer’s Apprentice theme,  
 in particular by adapting the Pygmalion myth (*Sandman* in *Tales of Hoffman*,  
 11 *Future Eve* by Villiers de L’ Isle-Adam). With the interesting exception of Carlo  
 Collodi’s *Pinocchio* (1883), the idea that to create a human-like machine is a trans-  
 13 gressive act became common sense.

15 The word robot was coined in a play by Karel Capek named *R.U.R.* (Rossum  
 Universal Robots). In this play, humans started to build human-like machines, treat-  
 ing them as slaves. The Frankenstein syndrome was applicable more than ever. To  
 17 create an artificial being was a transgressive act in itself, to enslave it, worse still.  
 In such a context, the robots’ revolt was almost legitimized.

19 In the twenties, German expressionist films put these romantic fears in images  
 with *Metropolis* (1921), *Der Golem* (1914, 1917, 1920) or *Faust* (1926). By the  
 21 Second World War, the robot was closely associated with fear.

23 When Isaac Asimov started his “Robots” short stories, he wanted to differ from  
 the common science fiction novels, where robots systematically revolt against their  
 master, by suggesting that some security measures could be taken. He imagined the  
 25 “Three Laws of Robotics” that should prevent robots from running amok. It has  
 been argued that the popularity of the robot series lead to a very positive attitude  
 27 towards humanoid robots, provided they stay “under control.” But with his laws,  
 Asimov legitimized the Frankenstein syndrome yet further by viewing it as a fate  
 29 that humans must try to avoid.

31 In contemporary fiction, the Frankenstein syndrome is still commonly present.  
 It has been integrated as an aspect of technology that seems unavoidable. Never-  
 theless, it is a relatively recent evolution in Western culture.

#### 33 4.4. *We are robots plus “something else”*

35 We have argued for the existence of a Frankenstein syndrome and trace its history  
 back to Romantism. But this is not sufficient to explain the important success of this  
 kind of story. Philippe Breton has studied the same corpus of texts about artificial  
 37 creatures (including the scientific discourses about them) and discovered a similar  
 structure that seemed to be followed by all the stories.<sup>11</sup> Behind the style diversity,  
 39 it appears that all these texts are actually formed using the same archetypal orga-  
 nization. First, the creator chooses a raw material: ivory, clay, magic wood, parts  
 41 of dead bodies, artificial neurons. In most cases it is a material with remarkable

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1 properties. Then this raw matter is modeled, sculpted, organized using the most  
 2 advanced technologies of the time: hammer, mathematics, electricity, computer sci-  
 3 ence, genetic algorithms. The creator aims at artificially reproducing what makes the  
 4 essence of human beings: the fundamental difference to animals. This goal changes  
 5 depending on time and place: beauty for the Greeks, movement and speech during  
 6 the age of Enlightenment, intelligence for computer scientists during the cybernetics  
 7 era, emotion or consciousness today. But despite all his efforts, the creator is unable  
 8 to reach his goal. External intervention is necessary to give the final and necessary  
 9 touches to the creation: magic, divine intervention, lucky circumstances. Artificial  
 10 creation is impossible without a *deus ex machina*.

11 We can trace back the origins of such a structure to the Bible. In Genesis, God  
 12 creates Adam with two distinct techniques. First, God acts as a ceramist to make  
 13 the first humanoid form. This involves a know-how that humans can master. Then,  
 14 this form is animated with a magic breath. Only God can master this pneumatic  
 15 technique that gives life to matter. This kind of creation in two steps can be found  
 16 in a large number of mythologies. In Egypt, China and in some African cultures,  
 17 gods also model a clay figure like the God of the Old Testament and then bring it to  
 18 life with a magic gesture or word. In Northern mythology, sculpted wood is preferred  
 19 to clay. Other legends describe how stone figures magically become alive. However,  
 20 there is no trace of such technical creation in Japanese mythology. In Japan, no  
 21 gods created human beings.

22 All these technical myths, tales and novels consider a human as the most  
 23 advanced machinery of his time plus “something else,” a mysterious *delta* that  
 24 remains to be explained. The Western man puts all his pride in this *delta* which is  
 25 supposed to be specifically human, a testimony of its divine origins. Understanding  
 26 how this delta changes over time will give us an important insight into the causes  
 27 underlying our fear of machines and robots.

## 5. Machines as Models of the Human Self

### 29 5.1. *Technical schemes as metaphors*

30 In the previous section, we showed that the Western man defines himself as an  
 31 advanced machine plus some mysterious human specificity. He does not want to  
 32 consider himself as a machine but he has no other way to understand himself than  
 33 by building machines.

34 Before going any further, we need to clarify what we mean by “machine.” We  
 35 must distinguish the physical machines that we use daily and the underlying mech-  
 36 anisms that make them work. Behind each real machine there is a set of abstract  
 37 processes understood and mastered. Let’s call “technical schemes” these technolog-  
 38 ical elements that underlie the realization of physical machines.

39 For each technical evolution, it is possible to draw a genealogy of associated  
 40 technical schemes. Technical schemes can be considered as a particular example

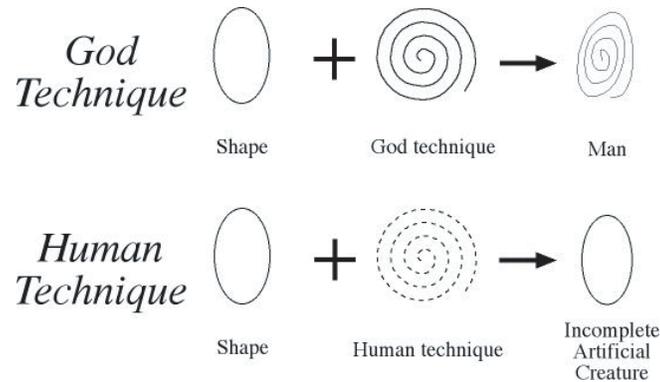


Fig. 4. The two steps of creation in Western culture.

1 of cultural replicators, like Richard Dawkins' memes.<sup>17</sup> They arise, propagate, are  
 3 altered and sometimes die out along with the success and failures of the machines  
 they permit to build. A machine is often built for a particular purpose but a technical  
 scheme is neutral. It just describes the understanding of a process.

5 The consequences of the creation of new technical schemes are not limited to  
 the construction of new machines. The history of medicine can be interpreted along  
 7 with the history of technical processes. To understand how the heart beats, the  
 invention of the pump was a crucial step (the pump itself has been at the center  
 9 of a cultural and political debate<sup>18</sup>). Without this invention, the movement of  
 this muscle would have remained totally mysterious. The pump is indeed a wonder-  
 11 ful metaphor. To explain how our body worked Descartes mainly used complex  
 pneumatic mechanisms.

13 But the pump metaphor had its limits. Several researchers discovered a network  
 of "tubes" that seemed to play a role in motor commands. It was the nervous  
 15 system. Under the pneumatic model, these wires should have a liquid or a gas  
 moving inside.<sup>19</sup> New optical devices were designed to see this internal cavity. This  
 17 quest would have continued a long time were it not for a new idea coming from the  
 first characterization of electrical phenomena by Volta and Galvani, who suggested  
 19 another way of looking at muscle control. The nerves were supporting electrical  
 messages. A new technical scheme had arrived and our vision of ourselves changed.

21 The invention of the computer can be viewed as a third revolution. This machine  
 introduced the crucial notions of software and hardware. The computer was a uni-  
 23 versal machine which could run an infinite number of possible programs. A few years  
 later, Watson and Crick discovered that heredity is coded in the form of a genetic  
 25 program. The DNA supposedly contained information to drive the construction of  
 a full living being. Once again, biology had directly used an engineering metaphor.  
 27 This latter one has been so successful that we have almost forgotten that it is not  
 the real thing, only a metaphor.

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1 **5.2. *The upsetting machine***

3 We see ourselves in the mirror of the machines that we build. Given that, one  
5 could think that each new machine is happily welcomed because it enables us to  
7 have a clearer idea about ourselves. But this is far from being the case. Because  
9 new machines can potentially force us to redefine ourselves, challenging what was  
11 thought to be our specificity, we are sometimes afraid of them. Science fiction novels  
13 describe armies of robots taking over the earth but in fact what we really fear is  
15 that they make us change our view of ourselves.

17 We like the way we are and we do not want it to change. Peter Sloterdijk  
19 has examined closely the mechanism that we use to prevent machines from upset-  
21 ting us.<sup>20</sup> He explains how machines challenge our “narcissistic shields” and how  
23 we painfully resist in this fight. But in the end, the new metaphors of humans  
25 introduced by new machines inevitably win, forcing the Western man to redefine  
27 himself. For a long time, playing chess was a definite sign of intelligent behavior.  
29 When a machine was able to beat the chess world champion, it was soon suggested  
that in fact, playing chess is not a good challenge and that human intelligence had  
other distinct characteristics. The same kind of redefinition is currently happening  
around emotions. New robots capable of expression emotional responses force us to  
define exactly what is meant by having emotions. This is maybe why some people  
from the Western world are not so happy to welcome them.

21 The same kind of process goes on with discoveries in animal behavior. Human  
23 beings are thought to have specific features that animals lack. When biologists  
25 show that we are underestimating the complexity or the richness of some aspects  
27 of animal life, the specificity of human beings is again challenged and “narcissistic  
29 shields” get activated. But in most of cases, we are not faced with these clever  
animals in our daily life. The situation is different with machines and in particular  
with mass-market robots. New humanoid robots currently under development and  
progress in artificial intelligence may significantly change what we thought were  
features unique to humans.

**6. Conclusion**

31 Making definitive statements about the West and the East is always a dangerous  
33 game. The investigations presented in this paper are only preliminary but they  
35 lead us to formulate the following tentative hypothesis (Table 1). Several cultural  
37 elements suggest that in the Western world machines are very important for under-  
39 standing what we are. We think of ourselves by analogy with the way machines  
work. But at the same time, technological progress challenges our specificity. That  
is why we can at the same time be fascinated and afraid when confronted with new  
machines. In Japan, in contrast, machines do not seem to affect human specificity.  
The difference between the natural and the artificial is not so crucial and build-  
ing machines is a positive activity in the search of the natural laws that govern

Table 1. Hypotheses about the differences in cultural acceptance of robots.

The West	Technology is central for defining what humans are	The possible convergence of humans and machines is a central topic, both fascinating and frightening	New robots can be upsetting
Japan	Technology has a more external role and can be part of an aesthetic quest	A distance is always maintained between the human body and technological prothesis	New robots rarely raise difficult issues

1 the world. We view this hypothesis as a thought stimulating idea that should be  
 2 challenged with possible counter examples.

3 In any case, possible cultural differences do not mean that robots cannot find  
 4 a market in the West. Several recent examples have clearly shown how the typi-  
 5 cal products of Japanese popular culture can be successfully exported. This ten-  
 6 dency towards a “neo-orientalism” seems to be growing over time suggesting that  
 7 Westerners continue to find in Japanese culture some sources of interest. Westerners  
 8 may not start to think in the Japanese way, but they may definitely change their  
 9 view of the world when confronted with Japanese artifacts.

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**Frédéric Kaplan** is a researcher at Sony Computer Science Laboratory in Paris. He graduated as an engineer of the Ecole Nationale Supérieure des Télécommunications in Paris and received a Ph.D. degree in Computer Science from the University Paris VI. He joined Sony CSL in 1997, where his work focused on new techniques for human–robot interaction and on the emergence of cultural representations among machines. In the field of developmental robotics, he has constructed several prototypes showing how self-motivated autonomous robots can bootstrap novel behaviors from scratch and discover new ways of perceiving their world.

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In parallel with his research in artificial intelligence, Frédéric Kaplan is interested in understanding the psychological, philosophical, anthropological and ethical issues associated with these new kinds of robots. He has written several essays discussing how technical innovations have cultural impacts and how culture affects the way technology is perceived.

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Frédéric Kaplan has published over 50 peer-reviewed articles in the fields of robotics, artificial life, evolutionary linguistics, ethology, complex systems and media studies. His first book “La Naissance d’une Langue chez les Robots” (The Birth of a Language Among Robots) was published in 2001 by Hermes Science.